# Exclusive DIS 

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## Outline

- GPDs: probabilistic interpretation as Fourier transforms of impact parameter dependent PDFs
- $H\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right) \longrightarrow q\left(x, \mathbf{b}_{\perp}\right)$
- $\tilde{H}\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right) \longrightarrow \Delta q\left(x, \mathbf{b}_{\perp}\right)$
- $E\left(x, 0,-\Delta_{\perp}^{2}\right)$
$\hookrightarrow \perp$ deformation of unpol. PDFs in $\perp$ pol. target
- physics: orbital motion of the quarks
$\hookrightarrow$ intuitive explanation for SSAs (Sivers)
- charge density in the center of the neutron
- $2 \tilde{H}_{T}+E_{T} \longrightarrow \perp$ deformation of $\perp$ pol. PDFs in unpol. target
- correlation between quark angular momentum and quark transversity
$\hookrightarrow$ Boer-Mulders function $h_{1}^{\perp}\left(x, \mathbf{k}_{\perp}\right)$
- Are all Boer-Mulders functions alike?
- physics of $h_{1 T}^{\perp}\left(x, \mathbf{k}_{\perp}\right)$
- Summary


## Generalized Parton Distributions (GPDs)

- GPDs: decomposition of form factors at a given value of $t$, w.r.t. the average momentum fraction $x=\frac{1}{2}\left(x_{i}+x_{f}\right)$ of the active quark

$$
\begin{array}{rlr}
\int d x H_{q}(x, \xi, t) & =F_{1}^{q}(t) & \int d x \tilde{H}_{q}(x, \xi, t)=G_{A}^{q}(t) \\
\int d x E_{q}(x, \xi, t) & =F_{2}^{q}(t) & \int d x \tilde{E}_{q}(x, \xi, t)=G_{P}^{q}(t)
\end{array}
$$

- $x_{i}$ and $x_{f}$ are the momentum fractions of the quark before and after the momentum transfer
- $2 \xi=x_{f}-x_{i}$
- GPDs can be probed in deeply virtual Compton scattering (DVCS)



## Generalized Parton Distributions (GPDs)

- formal definition (unpol. quarks):

$$
\begin{aligned}
\int \frac{d x^{-}}{2 \pi} e^{i x^{-} \bar{p}^{+} x}\left\langle p^{\prime}\right| \bar{q}\left(-\frac{x^{-}}{2}\right) \gamma^{+} q\left(\frac{x^{-}}{2}\right)|p\rangle & =H\left(x, \xi, \Delta^{2}\right) \bar{u}\left(p^{\prime}\right) \gamma^{+} u(p) \\
+ & E\left(x, \xi, \Delta^{2}\right) \bar{u}\left(p^{\prime}\right) \frac{i \sigma^{+\nu} \Delta_{\nu}}{2 M} u(p)
\end{aligned}
$$

- in the limit of vanishing $t$ and $\xi$, the nucleon non-helicity-flip GPDs must reduce to the ordinary PDFs:

$$
H_{q}(x, 0,0)=q(x) \quad \tilde{H}_{q}(x, 0,0)=\Delta q(x) .
$$

## Form Factors vs. GPDs

| operator | forward <br> matrix elem. | off-forward <br> matrix elem. | position space |
| :---: | :--- | :---: | :---: |
| $\bar{q} \gamma^{+} q$ | $Q$ | $F(t)$ | $\rho(\vec{r})$ |
| $\int \frac{d x^{-} e^{i x p^{+}} x^{-}}{4 \pi} \bar{q}\left(\frac{-x^{-}}{2}\right) \gamma^{+} q\left(\frac{x^{-}}{2}\right)$ | $q(x)$ | $H(x, \xi, t)$ | $?$ |

## Form Factors vs. GPDs

| operator | forward <br> matrix elem. | off-forward <br> matrix elem. | position space |
| :---: | :--- | :---: | :---: |
| $\bar{q} \gamma^{+} q$ | $Q$ | $F(t)$ | $\rho(\vec{r})$ |
| $\int \frac{d x^{-} e^{i x p^{+}} x^{-}}{4 \pi} \bar{q}\left(\frac{-x^{-}}{2}\right) \gamma^{+} q\left(\frac{x^{-}}{2}\right)$ | $q(x)$ | $H(x, 0, t)$ | $q\left(x, \mathbf{b}_{\perp}\right)$ |

$q\left(x, \mathbf{b}_{\perp}\right)=$ impact parameter dependent PDF

## Impact parameter dependent PDFs

- define $\perp$ localized state [D.Soper,PRD15, 1141 (1977)]

$$
\left|p^{+}, \mathbf{R}_{\perp}=\mathbf{0}_{\perp}, \lambda\right\rangle \equiv \mathcal{N} \int d^{2} \mathbf{p}_{\perp}\left|p^{+}, \mathbf{p}_{\perp}, \lambda\right\rangle
$$

Note: $\perp$ boosts in IMF form Galilean subgroup $\Rightarrow$ this state has
$\mathbf{R}_{\perp} \equiv \frac{1}{P^{+}} \int d x^{-} d^{2} \mathbf{x}_{\perp} \mathbf{x}_{\perp} T^{++}(x)=\sum_{i} x_{i} \mathbf{r}_{i, \perp}=\mathbf{0}_{\perp}$ (cf.: working in CM frame in nonrel. physics)

- define impact parameter dependent PDF
$q\left(x, \mathbf{b}_{\perp}\right) \equiv \int \frac{d x^{-}}{4 \pi}\left\langle p^{+}, \mathbf{R}_{\perp}=\mathbf{0}_{\perp}\right| \bar{q}\left(-\frac{x^{-}}{2}, \mathbf{b}_{\perp}\right) \gamma^{+} q\left(\frac{x^{-}}{2}, \mathbf{b}_{\perp}\right)\left|p^{+}, \mathbf{R}_{\perp}=\mathbf{0}_{\perp}\right\rangle e^{i x p^{+} x^{-}}$

$$
\begin{aligned}
q\left(x, \mathbf{b}_{\perp}\right) & =\int \frac{d^{2} \boldsymbol{\Delta}_{\perp}}{(2 \pi)^{2}} e^{i \boldsymbol{\Delta}_{\perp} \cdot \mathbf{b}_{\perp}} H\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right), \\
\Delta q\left(x, \mathbf{b}_{\perp}\right) & =\int \frac{d^{2} \boldsymbol{\Delta}_{\perp}}{(2 \pi)^{2}} e^{i \boldsymbol{\Delta}_{\perp} \cdot \mathbf{b}_{\perp}} \tilde{H}\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right),
\end{aligned}
$$



## Transversely Deformed Distributions and $E\left(x, 0,-\Delta_{\perp}^{2}\right.$

M.B., Int.J.Mod.Phys.A18, 173 (2003)

- So far: only unpolarized (or long. pol.) nucleon! In general $(\xi=0)$ :

$$
\begin{aligned}
\int \frac{d x^{-}}{4 \pi} e^{i p^{+} x^{-} x}\langle P+\Delta, \uparrow| \bar{q}(0) \gamma^{+} q\left(x^{-}\right)|P, \uparrow\rangle & =H\left(x, 0,-\Delta_{\perp}^{2}\right) \\
\int \frac{d x^{-}}{4 \pi} e^{i p^{+} x^{-} x}\langle P+\Delta, \uparrow| \bar{q}(0) \gamma^{+} q\left(x^{-}\right)|P, \downarrow\rangle & =-\frac{\Delta_{x^{-} i \Delta_{y}}^{2 M}}{2 M}\left(x, 0,-\Delta_{\perp}^{2}\right)
\end{aligned}
$$

- Consider nucleon polarized in $x$ direction (in IMF)

$$
|X\rangle \equiv\left|p^{+}, \mathbf{R}_{\perp}=\mathbf{0}_{\perp}, \uparrow\right\rangle+\left|p^{+}, \mathbf{R}_{\perp}=\mathbf{0}_{\perp}, \downarrow\right\rangle
$$

$\hookrightarrow$ unpolarized quark distribution for this state:

$$
q\left(x, \mathbf{b}_{\perp}\right)=\mathcal{H}\left(x, \mathbf{b}_{\perp}\right)-\frac{1}{2 M} \frac{\partial}{\partial b_{y}} \int \frac{d^{2} \boldsymbol{\Delta}_{\perp}}{(2 \pi)^{2}} E\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right) e^{-i \mathbf{b}_{\perp} \cdot \mathbf{\Delta}_{\perp}}
$$

- Physics: $j^{+}=j^{0}+j^{3}$, and left-right asymmetry from $j^{3}$ ! [X.Ji, PRL 91, 062001 (2003)]


## Intuitive connection with $\vec{L}_{q}$

- DIS probes quark momentum density in the infinite momentum frame (IMF). Quark density in IMF corresponds to $j^{+}=j^{0}+j^{3}$ component in rest frame ( $\vec{p}_{\gamma^{*}}$ in $-\hat{z}$ direction)
$\hookrightarrow j^{+}$larger than $j^{0}$ when quarks move towards the $\gamma^{*}$; suppressed when they move away from $\gamma^{*}$
$\hookrightarrow$ For quarks with positive orbital angular momentum in $\hat{x}$-direction, $j^{z}$ is positive on the $+\hat{y}$ side, and negative on the $-\hat{y}$ side

$\hookrightarrow$ not surprising that $E_{q}\left(x, 0,-\Delta_{\perp}^{2}\right)$ enters Ji relation!

$$
\left\langle J_{q}^{i}\right\rangle=S^{i} \int d x\left[H_{q}(x, 0,0)+E_{q}(x, 0,0)\right] x .
$$

## Transversely Deformed PDFs and $E\left(x, 0,-\Delta_{\perp}^{2}\right)$

- $q\left(x, \mathbf{b}_{\perp}\right)$ in $\perp$ polarized nucleon is deformed compared to longitudinally polarized nucleons !
- mean $\perp$ deformation of flavor $q$ ( $\perp$ flavor dipole moment)

$$
d_{y}^{q} \equiv \int d x \int d^{2} \mathbf{b}_{\perp} q_{X}\left(x, \mathbf{b}_{\perp}\right) b_{y}=\frac{1}{2 M} \int d x E_{q}(x, 0,0)=\frac{\kappa_{q}^{p}}{2 M}
$$

with $\kappa_{u / d}^{p} \equiv F_{2}^{u / d}(0)=\mathcal{O}(1-2) \quad \Rightarrow \quad d_{y}^{q}=\mathcal{O}(0.2 f m)$

- simple model: for simplicity, make ansatz where $E_{q} \propto H_{q}$

$$
\begin{aligned}
& E_{u}\left(x, 0,-\Delta_{\perp}^{2}\right)=\frac{\kappa_{u}^{p}}{2} H_{u}\left(x, 0,-\Delta_{\perp}^{2}\right) \\
& E_{d}\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right)=\kappa_{d}^{p} H_{d}\left(x, 0,-\Delta_{\perp}^{2}\right)
\end{aligned}
$$

with $\kappa_{u}^{p}=2 \kappa_{p}+\kappa_{n}=1.673 \quad \kappa_{d}^{p}=2 \kappa_{n}+\kappa_{p}=-2.033$.

- Model too simple but illustrates that anticipated deformation is very significant since $\kappa_{u}$ and $\kappa_{d}$ known to be large!



## SSAs in SIDIS $\left(\gamma+p \uparrow \longrightarrow \pi^{+}+X\right)$

- use factorization (high energies) to express momentum distribution of outgoing $\pi^{+}$as convolution of
- momentum distribution of quarks in nucleon
$\hookrightarrow$ unintegrated parton density $f_{q / p}\left(x, \mathbf{k}_{\perp}\right)$
- momentum distribution of $\pi^{+}$in jet created by leading quark $q$
$\hookrightarrow$ fragmentation function $D_{q}^{\pi^{+}}\left(z, \mathbf{p}_{\perp}\right)$
- average $\perp$ momentum of pions obtained as sum of
- average $\mathrm{k}_{\perp}$ of quarks in nucleon (Sivers effect)
- average $\mathbf{p}_{\perp}$ of pions in quark-jet (Collins effect)


## GPD $\longleftrightarrow$ SSA (Sivers)

- Sivers: distribution of unpol. quarks in $\perp$ pol. proton

$$
f_{q / p^{\uparrow}}\left(x, \mathbf{k}_{\perp}\right)=f_{1}^{q}\left(x, \mathbf{k}_{\perp}^{2}\right)-f_{1 T}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right) \frac{\left(\hat{\mathbf{P}} \times \mathbf{k}_{\perp}\right) \cdot S}{M}
$$

- without FSI, $\left\langle\mathbf{k}_{\perp}\right\rangle=0$, i.e. $f_{1 T}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right)=0$


## $f_{1 T}^{\perp}\left(x, \mathbf{k}_{\perp}\right)_{D Y}=-f_{1 T}^{\perp}\left(x, \mathbf{k}_{\perp}\right)_{S I D I S}$


a)

b)

- time reversal: $\mathrm{FSI} \leftrightarrow \mathrm{ISI}$
- compare FSI for 'red' $q$ that is being knocked out with ISI for an anti-red $\bar{q}$ that is about to annihilate that bound $q$
$\hookrightarrow$ FSI for knocked out $q$ is attractive
- nucleon is color singlet $\rightarrow$ when to-be-annihilated $q$ is 'red', the spectators must be anti-red
$\hookrightarrow$ ISI with spectators is repulsive


## GPD $\longleftrightarrow$ SSA (Sivers)

- Sivers: distribution of unpol. quarks in $\perp$ pol. proton

$$
f_{q / p^{\dagger}}\left(x, \mathbf{k}_{\perp}\right)=f_{1}^{q}\left(x, \mathbf{k}_{\perp}^{2}\right)-f_{1 T}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right) \frac{\left(\hat{\mathbf{P}} \times \mathbf{k}_{\perp}\right) \cdot S}{M}
$$

- without FSI, $\left\langle\mathbf{k}_{\perp}\right\rangle=0$, i.e. $f_{1 T}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right)=0$
- with FSI, $\left\langle\mathbf{k}_{\perp}\right\rangle \neq 0$ (Brodsky, Hwang, Schmidt)
- FSI formally included by appropriate choice of Wilson line gauge links in gauge invariant def. of $f_{q / p}\left(x, \mathbf{k}_{\perp}\right)$
- What should we expect for Sivers effect in QCD ?


## GPD $\longleftrightarrow$ SSA (Sivers)

- example: $\gamma p \rightarrow \pi X$ (Breit frame)

- $u, d$ distributions in $\perp$ polarized proton have left-right asymmetry in $\perp$ position space (T-even!); sign "determined" by $\kappa_{u} \& \kappa_{d}$
attractive FSI deflects active quark towards the center of momentum
$\hookrightarrow$ FSI translates position space distortion (before the quark is knocked out) in $+\hat{y}$-direction into momentum asymmetry that favors $-\hat{y}$ direction
$\hookrightarrow$ correlation between sign of $\kappa_{q}^{p}$ and sign of SSA: $f_{1 T}^{\perp q} \sim-\kappa_{q}^{p}$
- $f_{1 T}^{\perp q} \sim-\kappa_{q}^{p}$ confirmed by analysis of pion-data (HERMES). Also consistent with Compass $f_{1 T}^{\perp u}+f_{1 T}^{\perp d} \approx 0$


## GPD $\longleftrightarrow$ SSA (Sivers)

- $f_{1 T}^{\perp u}+f_{1 T}^{\perp d} \approx 0$ also consistent with sum rule [M.B., PRD69, 091501 (2004)],

$$
\int d x \sum_{i \in q, g} f_{1 T}^{\perp q}\left(x, \mathbf{k}_{\perp}\right) \mathbf{k}_{\perp}^{2}=0 .
$$

provided net gluon Sivers is small

## $\perp$ hyperon polarization

model for polarization in $p p \rightarrow Y+X(Y \in \Lambda, \Sigma, \Xi)$ at high energy:

- peripheral scattering
- $s \bar{s}$ produced in overlap region, i.e. on "inside track"
$\hookrightarrow$ if $Y$ deflected to left then $s$ produced on left side of $Y$ (and vice versa)
$\hookrightarrow$ if $\kappa_{s}>0$ then intermediate state has better overlap with final state $Y$ that has spin down
$\hookrightarrow$ remarkable prediction: $\vec{P}_{Y} \sim-\kappa_{S}^{Y} \vec{p}_{P} \times \vec{p}_{Y}$.
a)

b)



## $\perp$ hyperon polarization

- $\operatorname{SU}(3)$ analysis for $\kappa_{s}^{B}$ yields (assuming $\left.\left|\kappa_{s}^{p}\right| \ll\left|\kappa_{u}^{p}\right|,\left|\kappa_{d}^{p}\right|\right)$

$$
\begin{aligned}
\kappa_{s}^{\Lambda} & =\kappa^{p}+\kappa_{s}^{p}=1.79+\kappa_{s}^{p} \\
\kappa_{s}^{\Sigma} & =\kappa^{p}+2 \kappa^{n}+\kappa_{s}^{p}=-2.03+\kappa_{s}^{p} \\
\kappa_{s}^{\Xi} & =2 \kappa^{p}+\kappa^{n}+\kappa_{s}^{p}=1.67+\kappa_{s}^{p}
\end{aligned}
$$

$\hookrightarrow$ expect (polarization $\mathcal{P}$ w.r.t. $\vec{p}_{P} \times \vec{P}_{Y}$ )

$$
\mathcal{P}_{\Lambda}<0 \quad \mathcal{P}_{\Sigma}>0 \quad \mathcal{P}_{\Xi}<0
$$

consistent with exp. observed pattern

- similar reasoning 'explains' sign of SSA in $p+p \uparrow \longrightarrow h+X$ in those cases where $h$ contains some valence quarks from initial proton and large $x_{F}$


## Charge Density in the Center of the Neutron

- Galilean subgroup of $\perp$ boosts in IMF
$\hookrightarrow$ Interpretation of 2-D Fourier trafo of GPDs as impact parameter dependent PDFs $q\left(x, \mathbf{b}_{\perp}\right)$ relativistically correct
- $F_{1}\left(-\Delta_{\perp}^{2}\right)=\int d x H\left(x, 0,-\Delta_{\perp}^{2}\right)$
$\hookrightarrow$ interpretation of $\rho\left(\mathbf{b}_{\perp}\right)=\int \frac{d^{2} \boldsymbol{\Delta}_{\perp}}{(2 \pi)^{2}} F_{1}\left(-\boldsymbol{\Delta}_{\perp}^{2}\right) e^{i \boldsymbol{\Delta}_{\perp} \cdot \mathbf{b}_{\perp}}$ as charge density accross the nucleon-pizza also relativistically correct
- similar for 2-D Fourier trafo of $G_{A}$
$\hookrightarrow$ distribution of polarization density accross pizza $\Delta \rho\left(\mathbf{b}_{\perp}\right)$


## Charge Density in the Center of the Neutron

- 2d FT of $F_{1}^{n}$ (G.A.Miller)




## Charge Density in the Center of the Neutron

2 suppression of $u$ quarks/enhancement of $d$ quarks in center of neutron-pizza (in IMF)

- Explanation: several indications that, in proton, d-quarks in proton have larger $p$-wave component than u-quarks
- after charge factors taken out, contribution from $d$ quarks to anomalous magnetic moment of proton larger than from $u$ quarks ( $\kappa_{u}^{p}=1.673, \kappa_{d}^{p}=-2.033$ )
- Hermes: Sivers function for $d$ quarks (in proton) at least as large as for $u$ quarks
- lattice: $L_{u} \approx-L_{d}$
- all despite the fact that proton contains more $u$ than $d$ quarks!!!!
$\hookrightarrow$ (in neutron), $u$ quarks should have larger $p$-wave component than $d$ quarks
- $p$ wave suppressed at origin!
$\hookrightarrow$ suppression of $u$ quarks at center of neutron due to larger $p$-wave component


## Chirally Odd GPDs

$$
\begin{aligned}
\int \frac{d x^{-}}{2 \pi} e^{i x p^{+} x^{-}}\left\langle p^{\prime}\right| \bar{q}\left(-\frac{x^{-}}{2}\right) \sigma^{+j} \gamma_{5} q\left(\frac{x^{-}}{2}\right)|p\rangle= & H_{T} \bar{u} \sigma^{+j} \gamma_{5} u+\tilde{H}_{T} \bar{u} \frac{\varepsilon^{+j \alpha \beta} \Delta_{\alpha} P_{\beta}}{M^{2}} u \\
& +E_{T} \bar{u} \frac{\varepsilon^{+j \alpha \beta} \Delta_{\alpha} \gamma_{\beta}}{2 M} u+\tilde{E}_{T} \bar{u} \frac{\varepsilon^{+j \alpha \beta} P_{\alpha} \gamma_{\beta}}{M} u
\end{aligned}
$$

- See also M.Diehl+P.Hägler, hep-ph/0504175.
- Fourier trafo of $\bar{E}_{T}^{q} \equiv 2 \tilde{H}_{T}^{q}+E_{T}^{q}$ for $\xi=0$ describes distribution of transversity for unpolarized target in $\perp$ plane

$$
q^{i}\left(x, \mathbf{b}_{\perp}\right)=\frac{\varepsilon^{i j}}{2 M} \frac{\partial}{\partial b_{j}} \int \frac{d^{2} \boldsymbol{\Delta}_{\perp}}{(2 \pi)^{2}} e^{i \mathbf{b}_{\perp} \cdot \boldsymbol{\Delta}_{\perp}} \bar{E}_{T}^{q}\left(x, 0,-\boldsymbol{\Delta}_{\perp}^{2}\right)
$$

- origin: correlation between quark spin (i.e. transversity) and angular momentum


## Transversity Distribution in Unpolarized Target

## Boer-Mulders Function

- SIDIS: attractive FSI expected to convert position space asymmetry into momentum space asymmetry
$\hookrightarrow$ e.g. quarks at negative $b_{x}$ with spin in $+\hat{y}$ get deflected (due to FSI) into $+\hat{x}$ direction
$\hookrightarrow$ (qualitative) connection between Boer-Mulders function $h_{\frac{1}{\perp}}^{\perp}\left(x, \mathbf{k}_{\perp}\right)$ and the chirally odd GPD $\bar{E}_{T}$ that is similar to (qualitative) connection between Sivers function $f_{1 T}^{\perp}\left(x, \mathbf{k}_{\perp}\right)$ and the GPD $E$.
- Boer-Mulders: distribution of $\perp$ pol. quarks in unpol. proton

$$
f_{q^{\uparrow} / p}\left(x, \mathbf{k}_{\perp}\right)=\frac{1}{2}\left[f_{1}^{q}\left(x, \mathbf{k}_{\perp}^{2}\right)-h_{1}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right) \frac{\left(\hat{\mathbf{P}} \times \mathbf{k}_{\perp}\right) \cdot S_{q}}{M}\right]
$$

- $h_{1}^{\perp q}\left(x, \mathrm{k}_{\perp}^{2}\right)$ can be probed in DY (RHIC, J-PARC, GSI) and tagged SIDIS (JLab, eRHIC), using Collins-fragmentation: $\cos (2 \pi)$ asymmetry $\propto$ Boer-Mulders $\times$ Collins
$\hookrightarrow$ more $\pi$ 's normal to lepton scattering plane than in it


## probing BM function in tagged SIDIS

- consider semi-inclusive pion production off unpolarized target
- spin-orbit correlations in target wave function provide correlation between (primordial) quark transversity and impact parameter
$\hookrightarrow$ (attractive) FSI provides correlation between quark spin and $\perp$ quark momentum $\Rightarrow \mathrm{BM}$ function
- Collins effect: left-right asymmetry of $\pi$ distribution in fragmentation of $\perp$ polarized quark $\Rightarrow$ 'tag' quark spin
$\hookrightarrow \cos (2 \phi)$ modulation of $\pi$ distribution relative to lepton scattering plane
$\hookrightarrow \cos (2 \phi)$ asymmetry proportional to: Collins $\times \mathrm{BM}$


## Chirally Odd GPDs: sign

- models: $h_{1}^{\perp q}\left(x, \mathbf{k}_{\perp}^{2}\right)<0, q=u, d$
- lattice: $\bar{E}_{T}>0$

- All models \& lattice agree on sign! There seems to be a fundamental reason for this sign...


## Chirally Odd GPDs: sign

[M.B.+B.Hannafious, hep-ph/0705.1573]

- matrix element for $\bar{E}_{T}$ involves quark helicity flip
$\hookrightarrow$ requires interference between wave function components that differ by one unit of OAM (e.g. s-p interference)
$\hookrightarrow$ sign of $\bar{E}_{T}$ depends on rel. sign between s \& p components
- bag model: p-wave from lower component

$$
\Psi_{m}=\binom{i f \chi_{m}}{-g(\vec{\sigma} \cdot \overrightarrow{\vec{x}}) \chi_{m}}
$$

(relative sign from free Dirac equation $g=\frac{1}{E} \frac{d}{d r} f$ )

- $\bar{E}_{T} \propto-f \cdot g$. Ground state: $f$ peaked at $r=0 \Rightarrow \bar{E}_{T}>0$
- more general potential model: $\frac{1}{E} \rightarrow \frac{1}{E-V_{0}(r)+m+V_{S}(r)}$
$\hookrightarrow$ sign of $\bar{E}_{T}$ same as in Bag model!


## Chirally Odd GPDs: sign

[M.B.+B.Hannafious, hep-ph/0705.1573]

- relativistic constituent model: spin structure from SU(6) wave functions plus "Melosh rotation"
$\hookrightarrow \bar{E}_{T}>0$ (B.Pasquini et al.)
- origin of sign: "Melosh rotation" is free Lorentz boost
$\hookrightarrow$ relative sign between upper and lower component same as for free Dirac eq. (bag)
- diquark models: nucleon structure from perturbative splitting of spin $\frac{1}{2}$ 'nucleon' into quark \& scalar/a-vector diquark: $\bar{E}_{T}>0$
- origin of sign: interaction between $q$ and diquark is point-like
$\hookrightarrow$ except when $q$ \& diquark at same point, $q$ is noninteracting
$\hookrightarrow$ relative sign between upper and lower component same as for free Dirac eq. (bag)
- NJL model (pion): $\bar{E}_{T}>0$ origin of sign: NJL model also has contact interaction!
- lattice QCD ( $u, d$ in nucleon; pion): $\bar{E}_{T}>0(\longrightarrow$ P.Hägler $)$


## Chirally Odd GPDs: magnitude

- large $N_{C}: \bar{E}_{T}^{u}=\bar{E}_{T}^{d}$
- Bag model/potential models: correlation between quark orbit and quark spin same for all quark states (regardless whether $j_{z}=+\frac{1}{2}$ or $j_{z}=-\frac{1}{2}$ )
$\hookrightarrow$ all quark orbits contribute coherently to $\bar{E}_{T}$
- compare $E$ (anomalous magnetic moment), where quark orbits with $j_{z}=+\frac{1}{2}$ and $j_{z}=-\frac{1}{2}$ contribute with opposite sign
$\hookrightarrow E$, which describes correlation between quark OAM and nucleon spin smaller than $\bar{E}_{T}$, which describes correlation between quark OAM and quark spin: $\bar{E}_{T}>|E|$
- potential models: $\bar{E}_{T} \propto \#$ of $q \quad \Rightarrow \quad \bar{E}_{T}^{u}=2 \bar{E}_{T}^{d}$
$\hookrightarrow \operatorname{expect} 2 \bar{E}_{T}^{d}>\bar{E}_{T}^{u}>\bar{E}_{T}^{d}$
- all of the above consistent with LGT results ( $\longrightarrow$ P.Hägler)


## Transversity decomposition of $J_{q}$

[M.B., PRD72, 094020 (2006); PLB639, 462 (2006)]

- $J^{i}=\frac{1}{2} \varepsilon^{i j k} \int d^{3} x\left[T^{0 j} x^{k}-T^{0 k} x^{j}\right]$
- $J_{q}^{x}$ diagonal in transversity, projected with $\frac{1}{2}\left(1 \pm \gamma^{x} \gamma_{5}\right)$, i.e. one can decompose

$$
J_{q}^{x}=J_{q,+\hat{x}}^{x}+J_{q,-\hat{x}}^{x}
$$

where $J_{q, \pm \hat{x}}^{x}$ is the contribution (to $J_{q}^{x}$ ) from quarks with positive (negative) transversity
$\hookrightarrow$ derive relation quantifying the correlation between $\perp$ quark spin and angular momentum (nucleon polarized in $+\hat{y}$ direction)

$$
\left\langle J_{q, \pm \hat{y}}^{+\hat{y}}\right\rangle=\frac{1}{4} \int d x x\left[q(x)+E^{q}(x, 0,0)\right] \pm \frac{1}{4} \int d x x\left[h_{1}^{q}(x)+\bar{E}_{T}^{q}(x, 0,0)\right]
$$

For unpolarized target only second term.
$\hookrightarrow$ learn about $\vec{L}_{q} \cdot \vec{S}_{q}$ correlations

## Physics of $h_{1 T}^{\perp}$

- consider $\mathbf{k}_{\perp}$-dependence of PDFs for quarks with $\perp$ spin s

$$
\begin{aligned}
q\left(x, \mathbf{k}_{\perp}, \mathbf{s}, \mathbf{S}\right)=\frac{1}{2}[ & f_{1}+s^{i} S^{i} h_{1}+\frac{1}{M} S^{i} \varepsilon^{i j} k^{j} f_{1 T}^{\perp}+\frac{1}{M} s^{i} \varepsilon^{i j} k^{j} h_{1}^{\perp} \\
& \left.+\frac{1}{M} \Lambda s^{i} k^{i} h_{1 L}^{\perp}+\frac{1}{2 M^{2}} s_{i} S_{j}\left(2 k^{i} k^{j}-\mathbf{k}_{\perp} \delta^{i j}\right) h_{1 T}^{\perp}\right]
\end{aligned}
$$

where $\Lambda$ is the longitudinal nucleon polarization and $\mathbf{S}$ its $\perp$ spin.

- similar structures can be defined in impact parameter space
- if $h_{1 T}^{\perp}>0$ then, for $\mathrm{s}=\mathbf{S}=\hat{x}$, enhancement along $\hat{x}$ axis
$\hookrightarrow$ naturally arises from p-wave component, with $L_{x}=0$
- if $h_{1 T}^{\perp}<0$ then, for $\mathrm{s}=\mathbf{S}=\hat{x}$, enhancement $\perp$ to $\hat{x}$ axis
$\hookrightarrow$ naturally arises from p-wave component, with $L_{x}= \pm 1$
- intuitive expectation: $u$ quarks, expect lower component to have quarks polarized opposite to nucleon spin, with OAM in direction of nucleon spin $\longrightarrow \quad h_{1 T}^{\perp u}>0\left(d\right.$ quarks: $\left.\quad h_{1 T}^{\perp d}<0\right)$


## Physics of $h_{1 T}^{\perp}$

- $h_{1 T}^{\frac{1}{T}}>$ tells us about components of quark OAM for different polarization combinations
- relation to tensor-term in impact parameter space:
- $p$ wave in momentum space same shape as $p$ wave in position space
$\hookrightarrow$ same interpretation and same expectation for signs


## Summary

- GPDs $\xrightarrow{F T}$ PDFs in impact parameter space
- $E\left(x, 0,-\Delta_{\perp}^{2}\right) \longrightarrow \perp$ deformation of PDFs for $\perp$ polarized target
$\hookrightarrow$ origin for deformation: orbital motion of the quarks
$\hookrightarrow$ simple mechanism (attractive FSI ) to predict sign of $f_{1 T}^{q}$

$$
f_{1 T}^{u}<0 \quad f_{1 T}^{d}>0
$$

- neg. charge density in center of neutron $\Rightarrow L_{u}$
- distribution of $\perp$ polarized quarks in unpol. target described by chirally odd GPD $\bar{E}_{T}^{q}=2 \bar{H}_{T}^{q}+\tilde{E}_{T}^{q}$
$\hookrightarrow$ origin: correlation between orbital motion and spin of the quarks
$\hookrightarrow$ attractive $\mathrm{FSI} \Rightarrow$ measurement of $h_{1}^{\perp}$ (DY,SIDIS) provides information on $\bar{E}_{T}^{q}$ and hence on spin-orbit correlations
- expect: $h_{1}^{\perp, q}<0 \quad\left|h_{1}^{\perp, q}\right|>\left|f_{1 T}^{q}\right|$
- $h_{1 T}^{\perp}$ : info on $L_{x}= \pm 1$ vs. $L_{x}=0$


## $\perp$ Single Spin Asymmetry (Sivers)

- Naively (time-reversal invariance) $f\left(x, \mathbf{k}_{\perp}\right)=f\left(x,-\mathbf{k}_{\perp}\right)$
- However, including the final state interaction (FSI) results in nonzero asymmetry of the ejected quark! (Brodsky, Hwang, Schmidt)
- Gauge invariant definition requires quark to be connected by gauge link. Choice of path not arbitrary but must be chosen along path of outgoing quark to incorporate FSI

$$
\begin{aligned}
& \left.f\left(x, \mathbf{k}_{\perp}\right) \propto \int \frac{d \xi^{-} d^{2} \xi_{\perp}}{(2 \pi)^{3}} e^{i p \cdot \xi}\langle P, S| \bar{q}(0) U_{[0, \infty]} \gamma^{+} U_{[\infty, \xi]} q(\xi)|P, S\rangle\right|_{\xi^{+}=0} \\
& \quad \text { with } U_{[0, \infty]}=P \exp \left(i g \int_{0}^{\infty} d \eta^{-} A^{+}(\eta)\right)
\end{aligned}
$$

## Sivers Mechanism in $A^{+}=0$ gauge

- Gauge link along light-cone trivial in light-cone gauge

$$
U_{[0, \infty]}=P \exp \left(i g \int_{0}^{\infty} d \eta^{-} A^{+}(\eta)\right)=1
$$

$\hookrightarrow$ Puzzle: Sivers asymmetry seems to vanish in LC gauge (time-reversal invariance)!

- X.Ji: fully gauge invariant definition for $P\left(x, \mathbf{k}_{\perp}\right)$ requires additional gauge link at $x^{-}=\infty$

$$
\begin{aligned}
f\left(x, \mathbf{k}_{\perp}\right) & =\int \frac{d y^{-} d^{2} \mathbf{y}_{\perp}}{16 \pi^{3}} e^{-i x p^{+} y^{-}+i \mathbf{k}_{\perp} \cdot \mathbf{y}_{\perp}} \\
& \times\langle p, s| \bar{q}(y) \gamma^{+} U_{\left[y^{-}, \mathbf{y}_{\perp} ; \infty^{-}, \mathbf{y}_{\perp}\right]} U_{\left[\infty^{-}, \mathbf{y}_{\perp}, \infty^{-}, \mathbf{0}_{\perp}\right]} U_{\left[\infty^{-}, \mathbf{0}_{\perp} ; 0^{-}, \mathbf{0}_{\perp}\right]} q(0)|p, s\rangle
\end{aligned}
$$

