



# Exclusive DIS

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# Outline

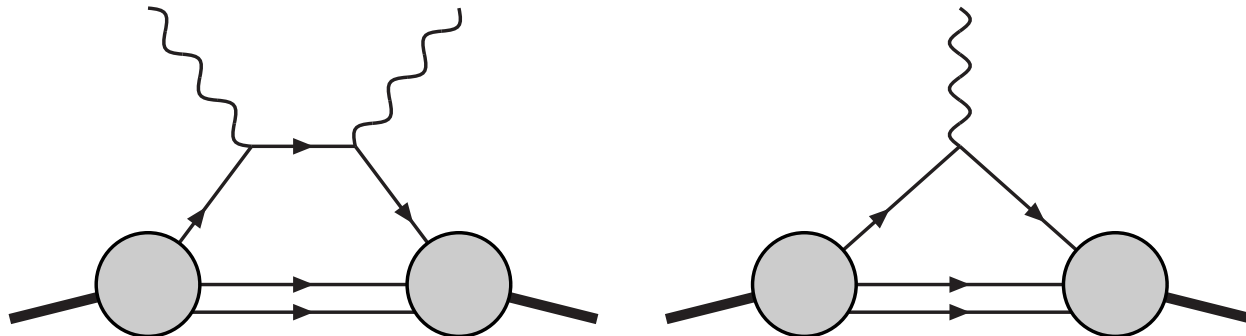
- GPDs: probabilistic interpretation as Fourier transforms of impact parameter dependent PDFs
  - $H(x, 0, -\Delta_{\perp}^2) \longrightarrow q(x, \mathbf{b}_{\perp})$
  - $\tilde{H}(x, 0, -\Delta_{\perp}^2) \longrightarrow \Delta q(x, \mathbf{b}_{\perp})$
  - $E(x, 0, -\Delta_{\perp}^2)$ 
    - $\hookrightarrow \perp$  deformation of unpol. PDFs in  $\perp$  pol. target
    - physics: orbital motion of the quarks
- $\hookrightarrow$  intuitive explanation for SSAs (Sivers)
- charge density in the center of the neutron
- $2\tilde{H}_T + E_T \longrightarrow \perp$  deformation of  $\perp$  pol. PDFs in unpol. target
  - correlation between quark angular momentum and quark transversity
  - $\hookrightarrow$  Boer-Mulders function  $h_{1T}^{\perp}(x, \mathbf{k}_{\perp})$ 
    - Are all Boer-Mulders functions alike?
- physics of  $h_{1T}^{\perp}(x, \mathbf{k}_{\perp})$
- Summary

# Generalized Parton Distributions (GPDs)

- GPDs: **decomposition of form factors** at a given value of  $t$ , w.r.t. the average momentum fraction  $x = \frac{1}{2} (x_i + x_f)$  of the active quark

$$\int dx H_q(x, \xi, t) = F_1^q(t) \quad \int dx \tilde{H}_q(x, \xi, t) = G_A^q(t)$$
$$\int dx E_q(x, \xi, t) = F_2^q(t) \quad \int dx \tilde{E}_q(x, \xi, t) = G_P^q(t),$$

- $x_i$  and  $x_f$  are the momentum fractions of the quark before and after the momentum transfer
- $2\xi = x_f - x_i$
- GPDs can be probed in deeply virtual Compton scattering (DVCS)



# Generalized Parton Distributions (GPDs)

- formal definition (unpol. quarks):

$$\int \frac{dx^-}{2\pi} e^{ix^- \bar{p}^+ x} \left\langle p' \left| \bar{q} \left( -\frac{x^-}{2} \right) \gamma^+ q \left( \frac{x^-}{2} \right) \right| p \right\rangle = H(x, \xi, \Delta^2) \bar{u}(p') \gamma^+ u(p) \\ + E(x, \xi, \Delta^2) \bar{u}(p') \frac{i\sigma^{+\nu} \Delta_\nu}{2M} u(p)$$

- in the limit of vanishing  $t$  and  $\xi$ , the nucleon non-helicity-flip GPDs must reduce to the ordinary PDFs:

$$H_q(x, 0, 0) = q(x) \quad \tilde{H}_q(x, 0, 0) = \Delta q(x).$$

# Form Factors vs. GPDs

operator	forward matrix elem.	off-forward matrix elem.	position space
$\bar{q}\gamma^+q$	$Q$	$F(t)$	$\rho(\vec{r})$
$\int \frac{dx^-}{4\pi} e^{ixp^+x^-} \bar{q}\left(\frac{-x^-}{2}\right) \gamma^+ q\left(\frac{x^-}{2}\right)$	$q(x)$	$H(x, \xi, t)$	?

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$\int \frac{dx^-}{4\pi} e^{ixp^+x^-} \bar{q}\left(\frac{-x^-}{2}\right) \gamma^+ q\left(\frac{x^-}{2}\right)$	$q(x)$	$H(x, 0, t)$	$q(x, \mathbf{b}_\perp)$

$q(x, \mathbf{b}_\perp) =$  impact parameter dependent PDF

# Impact parameter dependent PDFs

- define  $\perp$  localized state [D.Soper,PRD15, 1141 (1977)]

$$|p^+, \mathbf{R}_\perp = \mathbf{0}_\perp, \lambda\rangle \equiv \mathcal{N} \int d^2\mathbf{p}_\perp |p^+, \mathbf{p}_\perp, \lambda\rangle$$

Note:  $\perp$  boosts in IMF form Galilean subgroup  $\Rightarrow$  this state has

$$\mathbf{R}_\perp \equiv \frac{1}{P^+} \int dx^- d^2\mathbf{x}_\perp \mathbf{x}_\perp T^{++}(x) = \sum_i x_i \mathbf{r}_{i,\perp} = \mathbf{0}_\perp$$

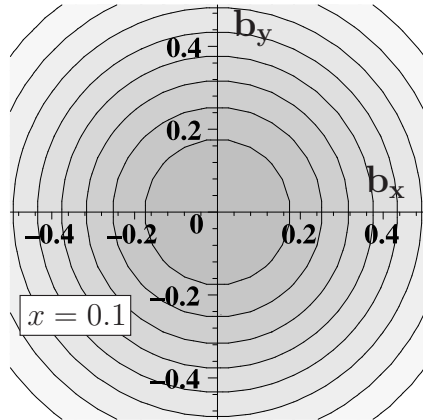
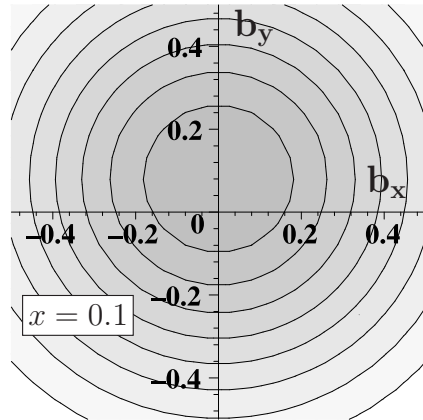
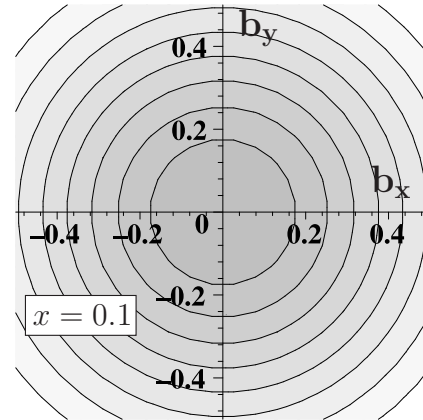
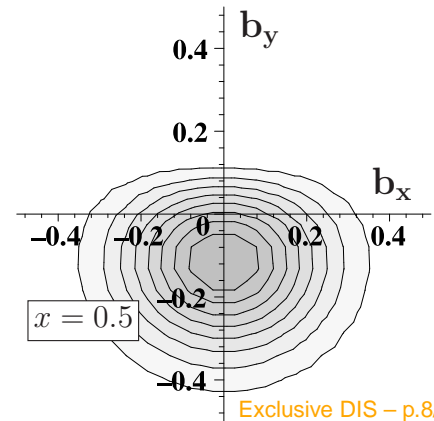
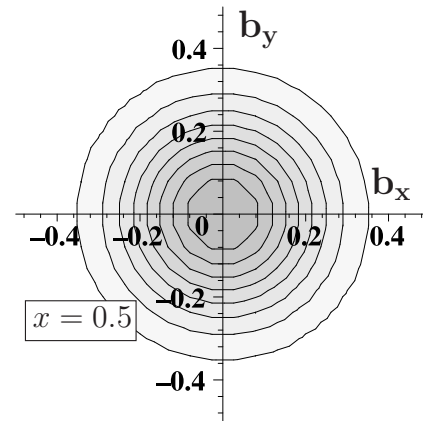
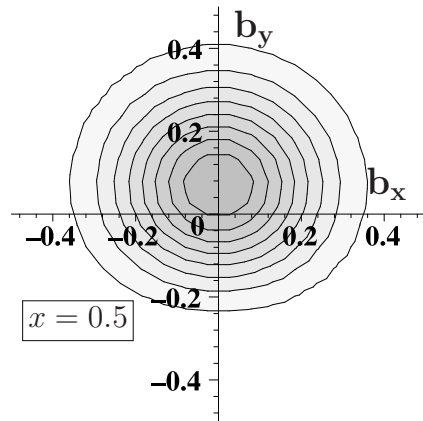
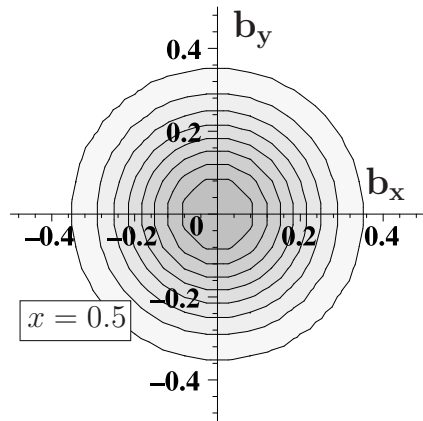
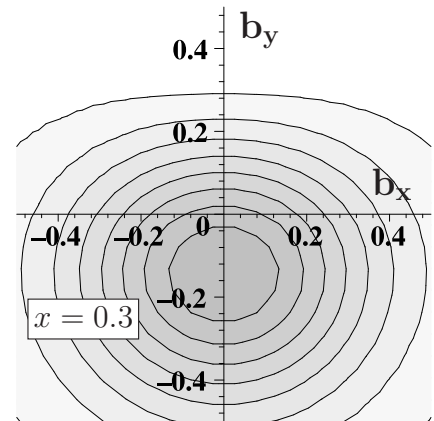
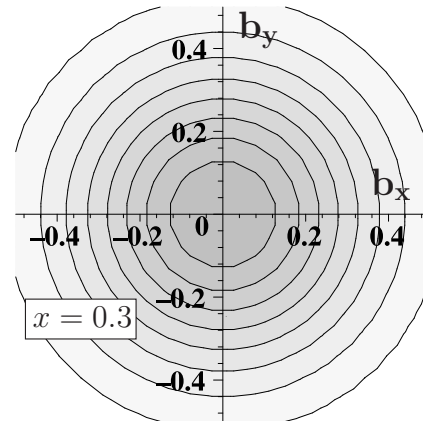
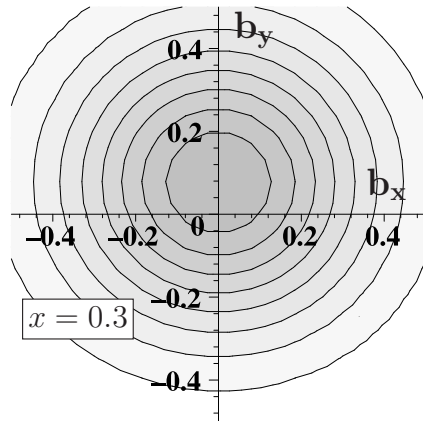
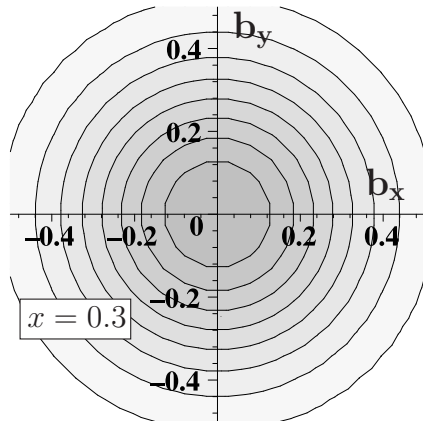
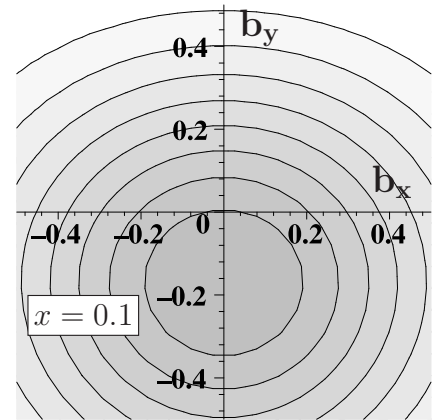
(cf.: working in CM frame in nonrel. physics)

- define **impact parameter dependent PDF**

$$q(x, \mathbf{b}_\perp) \equiv \int \frac{dx^-}{4\pi} \langle p^+, \mathbf{R}_\perp = \mathbf{0}_\perp | \bar{q}(-\frac{x^-}{2}, \mathbf{b}_\perp) \gamma^+ q(\frac{x^-}{2}, \mathbf{b}_\perp) | p^+, \mathbf{R}_\perp = \mathbf{0}_\perp \rangle e^{ixp^+ x^-}$$

$\hookrightarrow$

$$\begin{aligned} q(x, \mathbf{b}_\perp) &= \int \frac{d^2\Delta_\perp}{(2\pi)^2} e^{i\Delta_\perp \cdot \mathbf{b}_\perp} H(x, 0, -\Delta_\perp^2), \\ \Delta q(x, \mathbf{b}_\perp) &= \int \frac{d^2\Delta_\perp}{(2\pi)^2} e^{i\Delta_\perp \cdot \mathbf{b}_\perp} \tilde{H}(x, 0, -\Delta_\perp^2), \end{aligned}$$

$u(x, \mathbf{b}_\perp)$  $u_X(x, \mathbf{b}_\perp)$  $d(x, \mathbf{b}_\perp)$  $d_X(x, \mathbf{b}_\perp)$ 



# Transversely Deformed Distributions and $E(x, 0, -\Delta_{\perp}^2)$

M.B., Int.J.Mod.Phys.A18, 173 (2003)

- So far: only unpolarized (or long. pol.) nucleon! In general ( $\xi = 0$ ):

$$\int \frac{dx^-}{4\pi} e^{ip^+ x^-} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \uparrow \rangle = H(x, 0, -\Delta_{\perp}^2)$$

$$\int \frac{dx^-}{4\pi} e^{ip^+ x^-} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \downarrow \rangle = -\frac{\Delta_x - i\Delta_y}{2M} E(x, 0, -\Delta_{\perp}^2).$$

- Consider nucleon polarized in  $x$  direction (in IMF)  
 $|X\rangle \equiv |p^+, \mathbf{R}_{\perp} = \mathbf{0}_{\perp}, \uparrow\rangle + |p^+, \mathbf{R}_{\perp} = \mathbf{0}_{\perp}, \downarrow\rangle.$

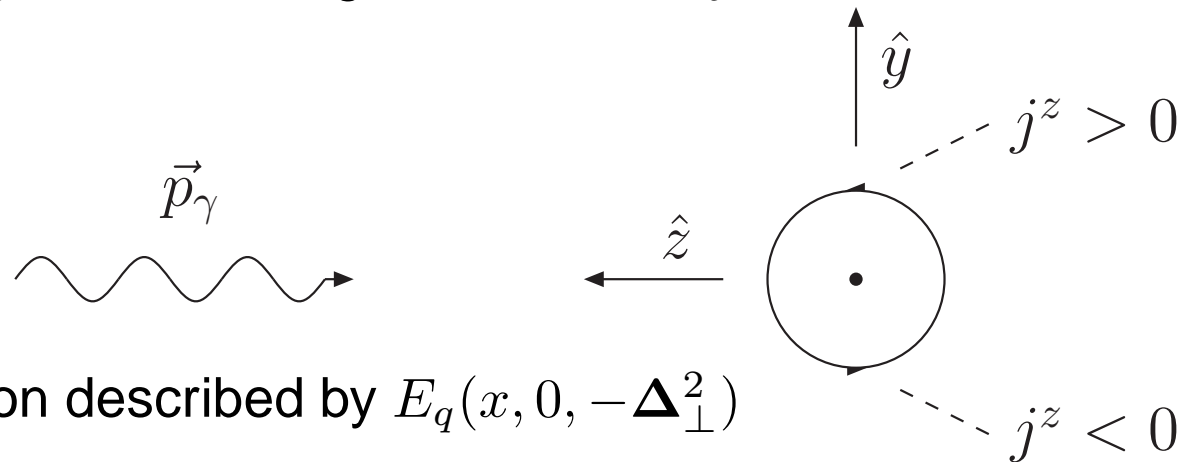
↪ unpolarized quark distribution for this state:

$$q(x, \mathbf{b}_{\perp}) = \mathcal{H}(x, \mathbf{b}_{\perp}) - \frac{1}{2M} \frac{\partial}{\partial b_y} \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} E(x, 0, -\Delta_{\perp}^2) e^{-i\mathbf{b}_{\perp} \cdot \Delta_{\perp}}$$

- Physics:  $j^+ = j^0 + j^3$ , and left-right asymmetry from  $j^3$  !  
[X.Ji, PRL 91, 062001 (2003)]

# Intuitive connection with $\vec{L}_q$

- DIS probes quark momentum density in the infinite momentum frame (IMF). Quark density in IMF corresponds to  $j^+ = j^0 + j^3$  component in rest frame ( $\vec{p}_{\gamma^*}$  in  $-\hat{z}$  direction)
- $\hookrightarrow j^+$  larger than  $j^0$  when quarks move towards the  $\gamma^*$ ; suppressed when they move away from  $\gamma^*$
- $\hookrightarrow$  For quarks with positive orbital angular momentum in  $\hat{x}$ -direction,  $j^z$  is positive on the  $+\hat{y}$  side, and negative on the  $-\hat{y}$  side



- Details of  $\perp$  deformation described by  $E_q(x, 0, -\Delta_{\perp}^2)$
- $\hookrightarrow$  not surprising that  $E_q(x, 0, -\Delta_{\perp}^2)$  enters Ji relation!

$$\langle J_q^i \rangle = S^i \int dx [H_q(x, 0, 0) + E_q(x, 0, 0)] x.$$

# Transversely Deformed PDFs and $E(x, 0, -\Delta_{\perp}^2)$

- $q(x, \mathbf{b}_{\perp})$  in  $\perp$  polarized nucleon is deformed compared to longitudinally polarized nucleons !
- mean  $\perp$  deformation of flavor  $q$  ( $\perp$  flavor dipole moment)

$$d_y^q \equiv \int dx \int d^2 \mathbf{b}_{\perp} q_X(x, \mathbf{b}_{\perp}) b_y = \frac{1}{2M} \int dx E_q(x, 0, 0) = \frac{\kappa_q^p}{2M}$$

with  $\kappa_{u/d}^p \equiv F_2^{u/d}(0) = \mathcal{O}(1 - 2) \Rightarrow d_y^q = \mathcal{O}(0.2 fm)$

- simple model: for simplicity, make ansatz where  $E_q \propto H_q$

$$E_u(x, 0, -\Delta_{\perp}^2) = \frac{\kappa_u^p}{2} H_u(x, 0, -\Delta_{\perp}^2)$$

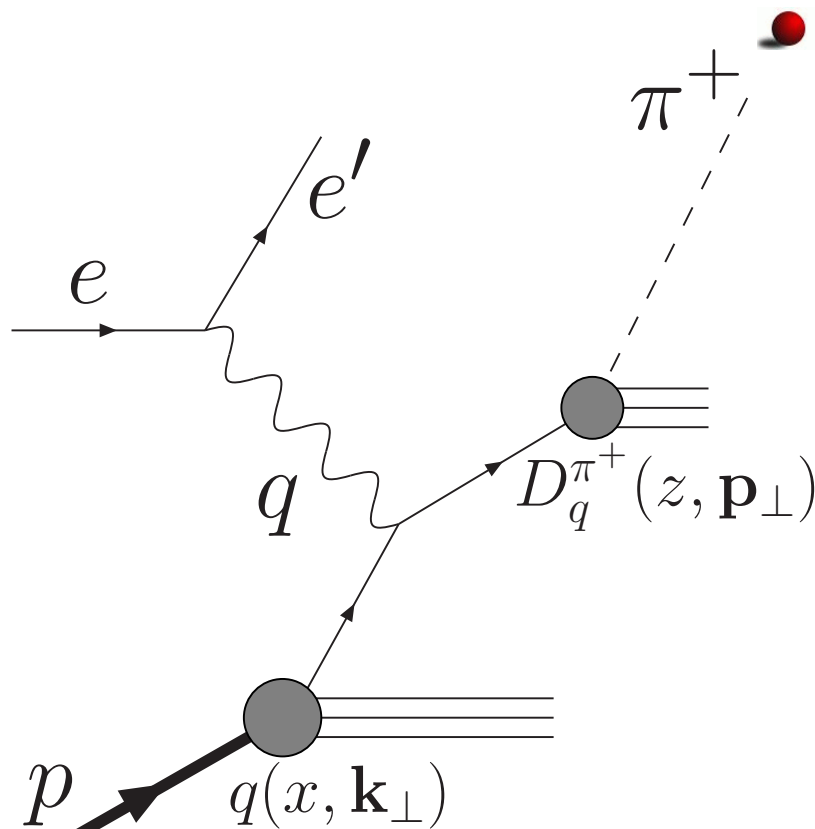
$$E_d(x, 0, -\Delta_{\perp}^2) = \kappa_d^p H_d(x, 0, -\Delta_{\perp}^2)$$

with  $\kappa_u^p = 2\kappa_p + \kappa_n = 1.673$        $\kappa_d^p = 2\kappa_n + \kappa_p = -2.033$ .

- Model too simple but illustrates that anticipated deformation is very significant since  $\kappa_u$  and  $\kappa_d$  known to be large!



# SSAs in SIDIS ( $\gamma + p \uparrow \longrightarrow \pi^+ + X$ )



- use factorization (high energies) to express momentum distribution of outgoing  $\pi^+$  as **convolution** of

- momentum distribution of quarks in nucleon
- ↪ **unintegrated parton density**  $f_{q/p}(x, \mathbf{k}_\perp)$
- momentum distribution of  $\pi^+$  in jet created by leading quark  $q$
- ↪ **fragmentation function**  $D_q^{\pi^+}(z, \mathbf{p}_\perp)$

- average  $\perp$  momentum of pions obtained as sum of
  - average  $\mathbf{k}_\perp$  of quarks in nucleon (Sivers effect)
  - average  $\mathbf{p}_\perp$  of pions in quark-jet (Collins effect)

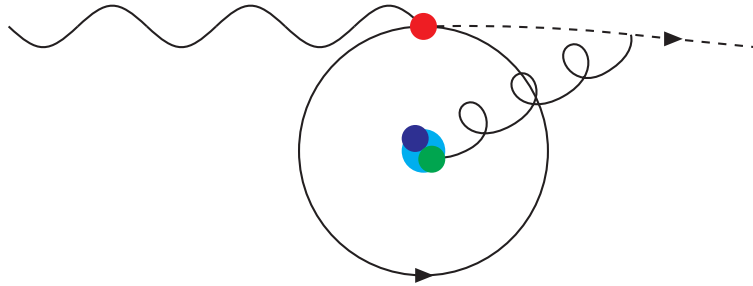
# GPD $\longleftrightarrow$ SSA (Sivers)

- Sivers: distribution of unpol. quarks in  $\perp$  pol. proton

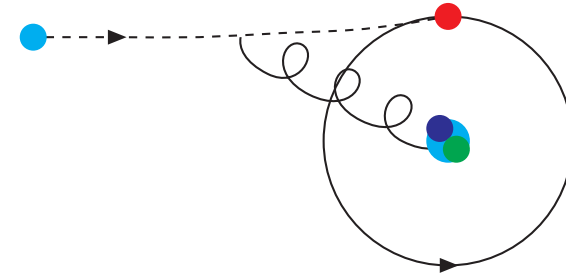
$$f_{q/p^\uparrow}(x, \mathbf{k}_\perp) = f_1^q(x, \mathbf{k}_\perp^2) - f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S}{M}$$

- without FSI,  $\langle \mathbf{k}_\perp \rangle = 0$ , i.e.  $f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) = 0$

$$f_{1T}^\perp(x, \mathbf{k}_\perp)_{DY} = -f_{1T}^\perp(x, \mathbf{k}_\perp)_{SIDIS}$$



a)



b)

- time reversal: FSI  $\leftrightarrow$  ISI
- compare FSI for 'red'  $q$  that is being knocked out with ISI for an anti-red  $\bar{q}$  that is about to annihilate that bound  $q$
- ↪ FSI for knocked out  $q$  is attractive
- nucleon is color singlet  $\rightarrow$  when to-be-annihilated  $q$  is 'red', the spectators must be anti-red
- ↪ ISI with spectators is repulsive

# GPD $\longleftrightarrow$ SSA (Sivers)

- **Sivers**: distribution of **unpol.** quarks in  $\perp$  pol. proton

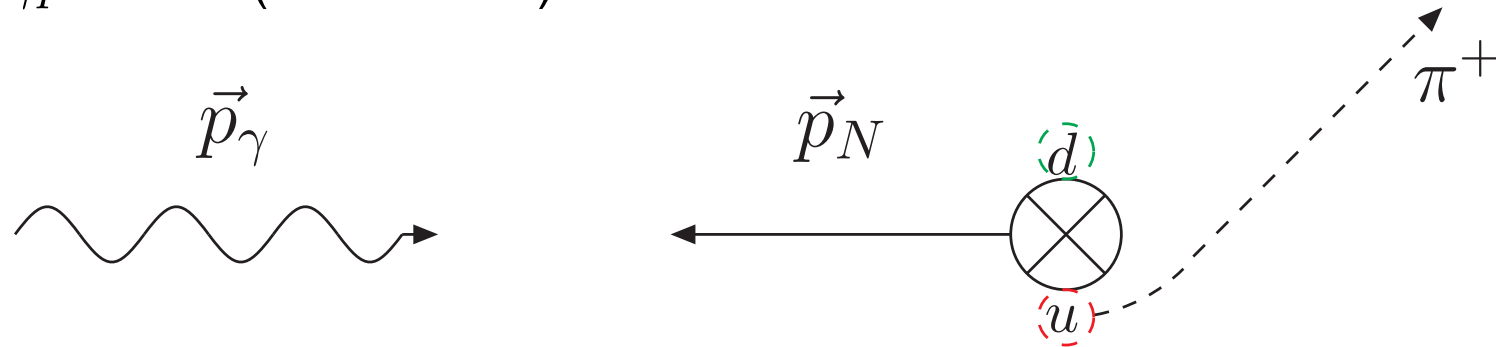
$$f_{q/p\uparrow}(x, \mathbf{k}_\perp) = f_1^q(x, \mathbf{k}_\perp^2) - f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S}{M}$$

- without FSI,  $\langle \mathbf{k}_\perp \rangle = 0$ , i.e.  $f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) = 0$
- with FSI,  $\langle \mathbf{k}_\perp \rangle \neq 0$  (Brodsky, Hwang, Schmidt)
- FSI formally included by appropriate choice of Wilson line gauge links in gauge invariant def. of  $f_{q/p}(x, \mathbf{k}_\perp)$
- What should we expect for Sivers effect in QCD ?



# GPD $\longleftrightarrow$ SSA (Sivers)

- example:  $\gamma p \rightarrow \pi X$  (Breit frame)



- $u, d$  distributions in  $\perp$  polarized proton have left-right asymmetry in  $\perp$  position space (T-even!); sign “determined” by  $\kappa_u$  &  $\kappa_d$
- attractive FSI deflects active quark towards the center of momentum
- $\hookrightarrow$  FSI translates position space distortion (before the quark is knocked out) in  $+\hat{y}$ -direction into momentum asymmetry that favors  $-\hat{y}$  direction
- $\hookrightarrow$  correlation between sign of  $\kappa_q^p$  and sign of SSA:  $f_{1T}^{\perp q} \sim -\kappa_q^p$
- $f_{1T}^{\perp q} \sim -\kappa_q^p$  confirmed by analysis of pion-data (HERMES). Also consistent with COMPASS  $f_{1T}^{\perp u} + f_{1T}^{\perp d} \approx 0$

# GPD $\longleftrightarrow$ SSA (Sivers)

- $f_{1T}^{\perp u} + f_{1T}^{\perp d} \approx 0$  also consistent with sum rule [M.B., PRD69, 091501 (2004)],

$$\int dx \sum_{i \in q, g} f_{1T}^{\perp i}(x, \mathbf{k}_{\perp}) \mathbf{k}_{\perp}^2 = 0.$$

provided net gluon Sivers is small

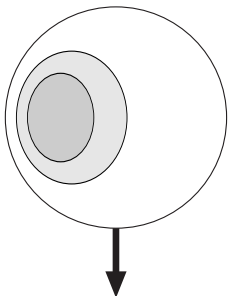
# ⊥ hyperon polarization

model for polarization in  $pp \rightarrow Y + X$  ( $Y \in \Lambda, \Sigma, \Xi$ ) at high energy:

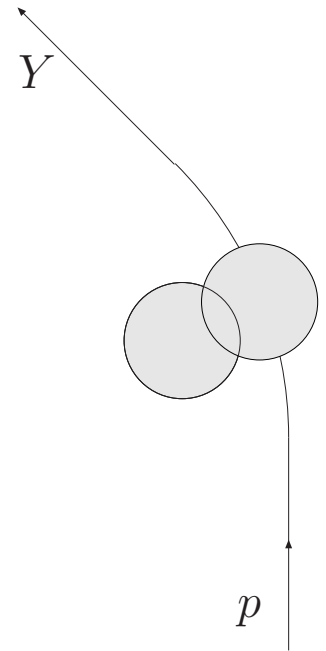
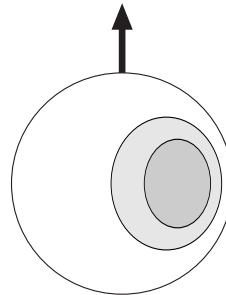
- peripheral scattering
- $s\bar{s}$  produced in overlap region, i.e. on “inside track”
- ↪ if  $Y$  deflected to left then  $s$  produced on left side of  $Y$  (and vice versa)
- ↪ if  $\kappa_s > 0$  then intermediate state has better overlap with final state  $Y$  that has spin down

↪ remarkable prediction:  $\vec{P}_Y \sim -\kappa_s^Y \vec{p}_P \times \vec{p}_Y.$

a)



b)



# ⊥ hyperon polarization

- SU(3) analysis for  $\kappa_s^B$  yields (assuming  $|\kappa_s^p| \ll |\kappa_u^p|, |\kappa_d^p|$ )

$$\kappa_s^\Lambda = \kappa^p + \kappa_s^p = 1.79 + \kappa_s^p$$

$$\kappa_s^\Sigma = \kappa^p + 2\kappa^n + \kappa_s^p = -2.03 + \kappa_s^p$$

$$\kappa_s^\Xi = 2\kappa^p + \kappa^n + \kappa_s^p = 1.67 + \kappa_s^p.$$

- ↪ expect (polarization  $\mathcal{P}$  w.r.t.  $\vec{p}_P \times \vec{P}_Y$ )

$$\mathcal{P}_\Lambda < 0 \quad \mathcal{P}_\Sigma > 0 \quad \mathcal{P}_\Xi < 0$$

consistent with exp. observed pattern

- similar reasoning ‘explains’ sign of SSA in  $p + p \uparrow \longrightarrow h + X$  in those cases where  $h$  contains some valence quarks from initial proton and large  $x_F$

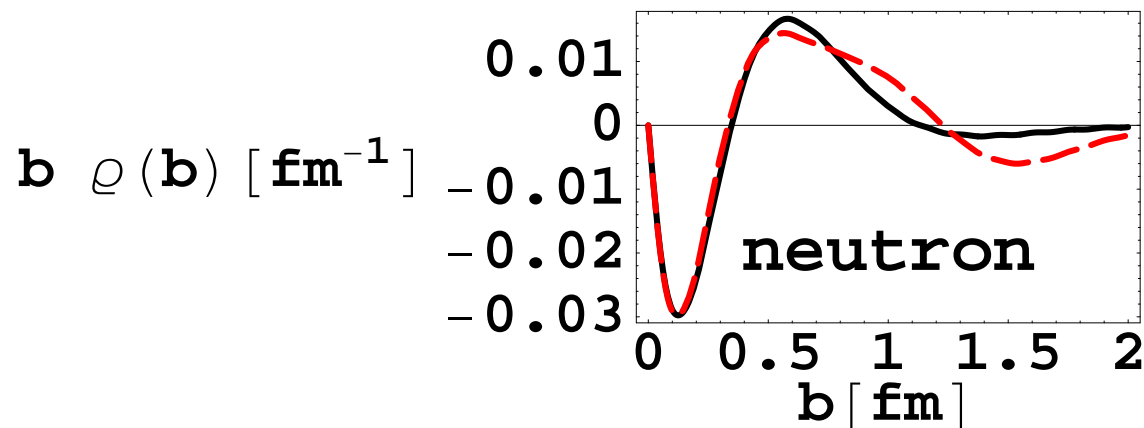
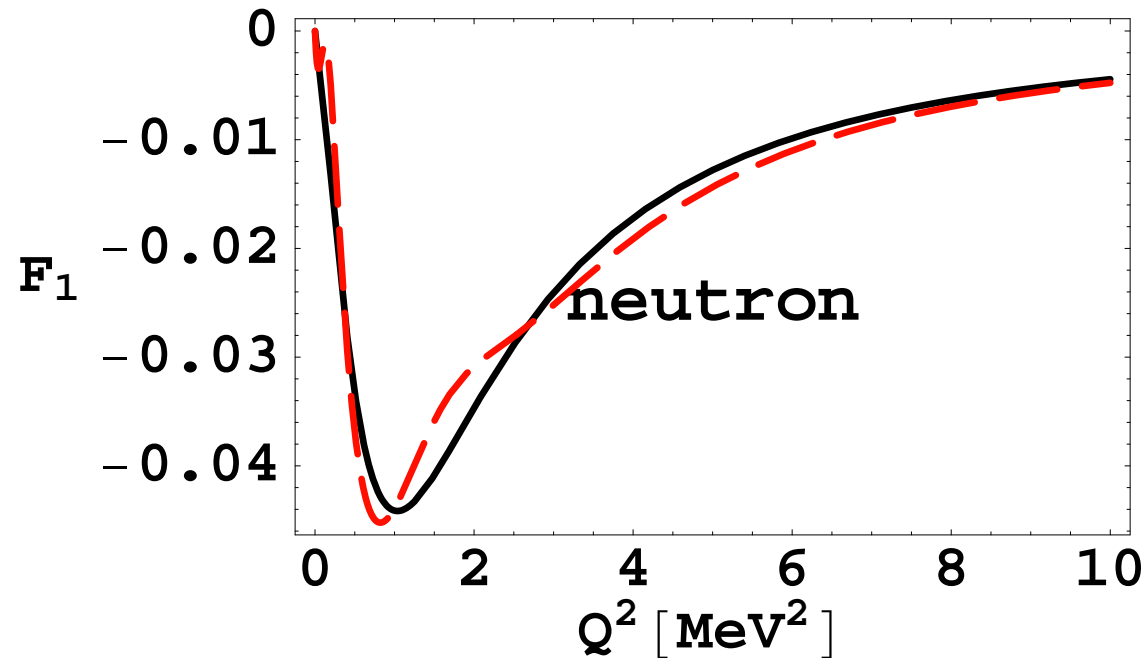
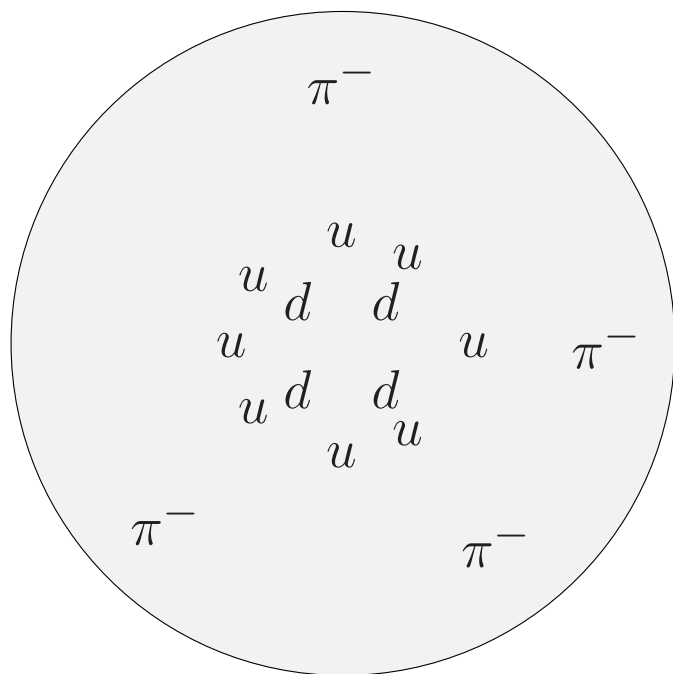
# Charge Density in the Center of the Neutron

- Galilean subgroup of  $\perp$  boosts in IMF
- ↪ Interpretation of 2-D Fourier trafo of GPDs as impact parameter dependent PDFs  $q(x, \mathbf{b}_\perp)$  relativistically correct
- $F_1(-\Delta_\perp^2) = \int dx H(x, 0, -\Delta_\perp^2)$
- ↪ interpretation of  $\rho(\mathbf{b}_\perp) = \int \frac{d^2\Delta_\perp}{(2\pi)^2} F_1(-\Delta_\perp^2) e^{i\Delta_\perp \cdot \mathbf{b}_\perp}$  as charge density accross the nucleon-pizza also relativistically correct
- similar for 2-D Fourier trafo of  $G_A$
- ↪ distribution of polarization density accross pizza  $\Delta\rho(\mathbf{b}_\perp)$

# Charge Density in the Center of the Neutron

● 2d FT of  $F_1^n$  (G.A.Miller)

The neutron pizza



# Charge Density in the Center of the Neutron

- suppression of  $u$  quarks/enhancement of  $d$  quarks in center of neutron-pizza (in IMF)
- Explanation: several indications that, in proton,  $d$ -quarks in proton have larger  $p$ -wave component than  $u$ -quarks
  - after charge factors taken out, contribution from  $d$  quarks to anomalous magnetic moment of proton larger than from  $u$  quarks ( $\kappa_u^p = 1.673$ ,  $\kappa_d^p = -2.033$ )
  - HERMES: Sivers function for  $d$  quarks (in proton) at least as large as for  $u$  quarks
  - lattice:  $L_u \approx -L_d$
  - all despite the fact that proton contains more  $u$  than  $d$  quarks!!!!
- ↪ (in neutron),  $u$  quarks should have larger  $p$ -wave component than  $d$  quarks
- $p$  wave suppressed at origin!
- ↪ **suppression of  $u$  quarks at center of neutron due to larger  $p$ -wave component**

# Chirally Odd GPDs

$$\int \frac{dx^-}{2\pi} e^{ixp^+ x^-} \left\langle p' \left| \bar{q} \left( -\frac{x^-}{2} \right) \sigma^{+j} \gamma_5 q \left( \frac{x^-}{2} \right) \right| p \right\rangle = H_T \bar{u} \sigma^{+j} \gamma_5 u + \tilde{H}_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} \Delta_\alpha P_\beta}{M^2} u + E_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} \Delta_\alpha \gamma_\beta}{2M} u + \tilde{E}_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} P_\alpha \gamma_\beta}{M} u$$

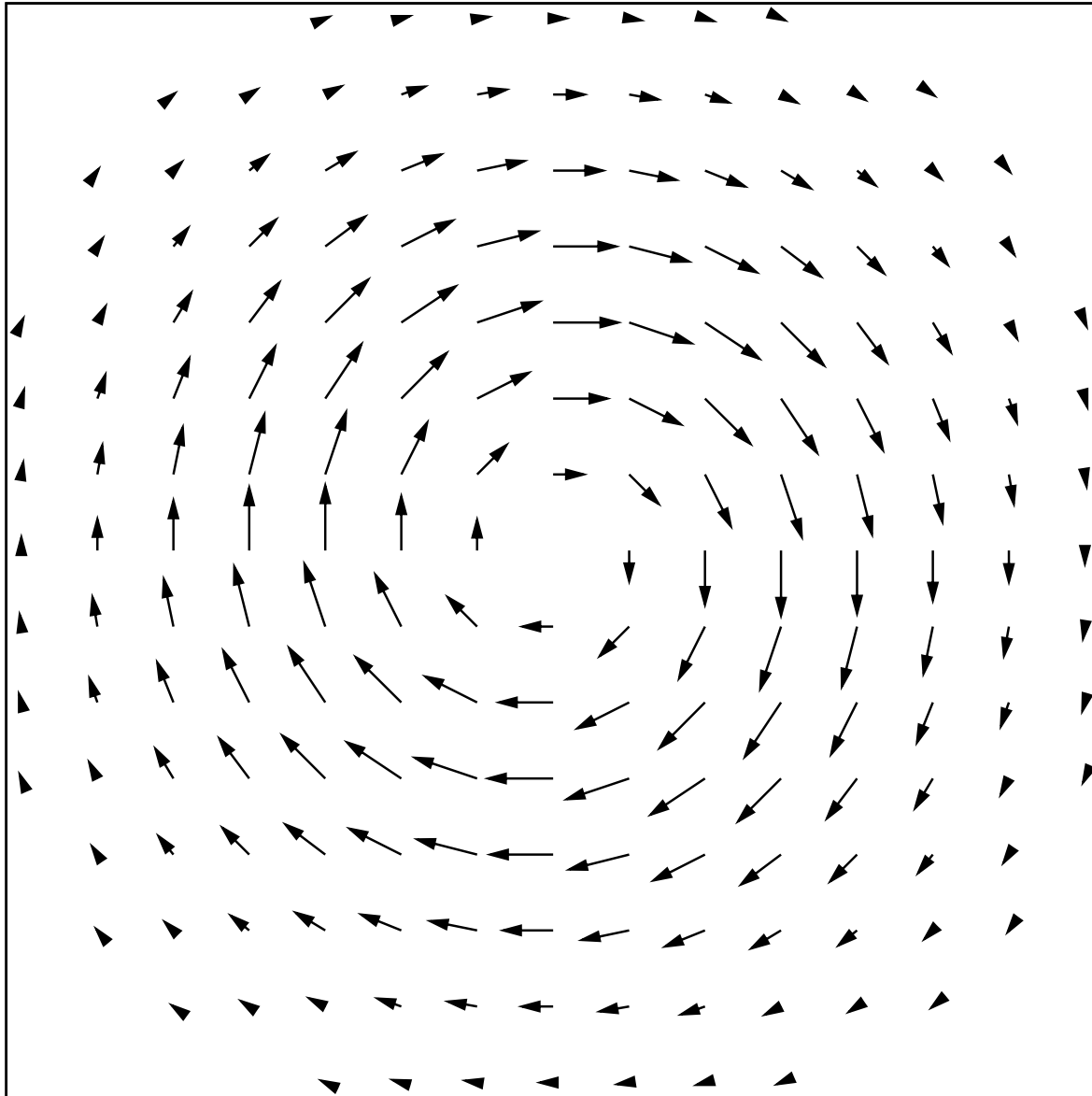
- See also M.Diehl+P.Hägler, hep-ph/0504175.
- Fourier trafo of  $\bar{E}_T^q \equiv 2\tilde{H}_T^q + E_T^q$  for  $\xi = 0$  describes distribution of transversity for unpolarized target in  $\perp$  plane

$$q^i(x, \mathbf{b}_\perp) = \frac{\varepsilon^{ij}}{2M} \frac{\partial}{\partial b_j} \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i\mathbf{b}_\perp \cdot \Delta_\perp} \bar{E}_T^q(x, 0, -\Delta_\perp^2)$$

- origin: correlation between quark spin (i.e. transversity) and angular momentum



# Transversity Distribution in Unpolarized Target



# Boer-Mulders Function

- SIDIS: attractive FSI expected to convert position space asymmetry into momentum space asymmetry
  - ↪ e.g. quarks at negative  $b_x$  with spin in  $+\hat{y}$  get deflected (due to FSI) into  $+\hat{x}$  direction
  - ↪ (qualitative) connection between Boer-Mulders function  $h_1^\perp(x, \mathbf{k}_\perp)$  and the chirally odd GPD  $\bar{E}_T$  that is similar to (qualitative) connection between Sivers function  $f_{1T}^\perp(x, \mathbf{k}_\perp)$  and the GPD  $E$ .
- **Boer-Mulders**: distribution of  $\perp$  pol. quarks in unpol. proton

$$f_{q^\uparrow/p}(x, \mathbf{k}_\perp) = \frac{1}{2} \left[ f_1^q(x, \mathbf{k}_\perp^2) - h_1^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S_q}{M} \right]$$

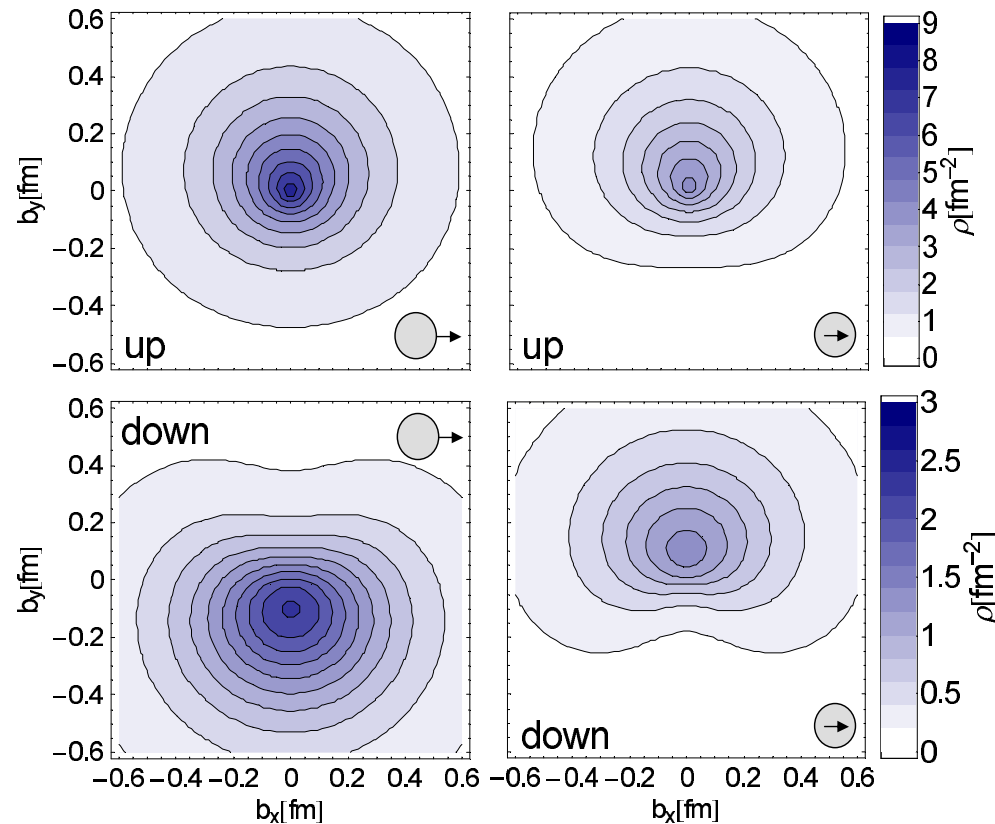
- $h_1^{\perp q}(x, \mathbf{k}_\perp^2)$  can be probed in DY (RHIC, J-PARC, GSI) and tagged SIDIS (JLab, eRHIC), using Collins-fragmentation:  
 $\cos(2\pi)$  asymmetry  $\propto$  Boer-Mulders  $\times$  Collins
  - ↪ more  $\pi$ 's normal to lepton scattering plane than in it

# probing BM function in tagged SIDIS

- consider semi-inclusive pion production off unpolarized target
- spin-orbit correlations in target wave function provide correlation between (primordial) quark transversity and impact parameter
- ↪ (attractive) FSI provides correlation between quark spin and  $\perp$  quark momentum  $\Rightarrow$  BM function
- Collins effect: left-right asymmetry of  $\pi$  distribution in fragmentation of  $\perp$  polarized quark  $\Rightarrow$  'tag' quark spin
- ↪  $\cos(2\phi)$  modulation of  $\pi$  distribution relative to lepton scattering plane
- ↪  $\cos(2\phi)$  asymmetry proportional to: Collins  $\times$  BM

# Chirally Odd GPDs: sign

- models:  $h_1^{\perp q}(x, \mathbf{k}_{\perp}^2) < 0$ ,  $q = u, d$
- lattice:  $\bar{E}_T > 0$



- All models & lattice agree on sign! There seems to be a fundamental reason for this sign...

# Chirally Odd GPDs: sign

[M.B.+B.Hannafious, hep-ph/0705.1573]

- matrix element for  $\bar{E}_T$  involves quark helicity flip
- ↪ requires interference between wave function components that differ by one unit of OAM (e.g. s-p interference)
- ↪ sign of  $\bar{E}_T$  depends on rel. sign between s & p components
- bag model: p-wave from lower component

$$\Psi_m = \begin{pmatrix} i f \chi_m \\ -g(\vec{\sigma} \cdot \hat{x}) \chi_m \end{pmatrix},$$

(relative sign from free Dirac equation  $g = \frac{1}{E} \frac{d}{dr} f$ )

- $\bar{E}_T \propto -f \cdot g$ . Ground state:  $f$  peaked at  $r = 0 \Rightarrow \bar{E}_T > 0$
- more general potential model:  $\frac{1}{E} \rightarrow \frac{1}{E - V_0(r) + m + V_S(r)}$
- ↪ sign of  $\bar{E}_T$  same as in Bag model!

# Chirally Odd GPDs: sign

[M.B.+B.Hannafious, hep-ph/0705.1573]

- relativistic constituent model: spin structure from SU(6) wave functions plus “Melosh rotation”
  - ↔  $\bar{E}_T > 0$  (B.Pasquini et al.)
    - origin of sign: “Melosh rotation” is free Lorentz boost
  - ↔ relative sign between upper and lower component same as for free Dirac eq. (bag)
- diquark models: nucleon structure from perturbative splitting of spin  $\frac{1}{2}$  ‘nucleon’ into quark & scalar/a-vector diquark:  $\bar{E}_T > 0$ 
  - origin of sign: interaction between  $q$  and diquark is point-like
  - ↔ except when  $q$  & diquark at same point,  $q$  is noninteracting
  - ↔ relative sign between upper and lower component same as for free Dirac eq. (bag)
- NJL model (pion):  $\bar{E}_T > 0$   
origin of sign: NJL model also has contact interaction!
- lattice QCD ( $u, d$  in nucleon; pion):  $\bar{E}_T > 0$  (→ P.Hägler)

# Chirally Odd GPDs: magnitude

- large  $N_C$ :  $\bar{E}_T^u = \bar{E}_T^d$
- Bag model/potential models: correlation between quark orbit and quark spin same for all quark states (regardless whether  $j_z = +\frac{1}{2}$  or  $j_z = -\frac{1}{2}$ )
  - ↪ all quark orbits contribute coherently to  $\bar{E}_T$
- compare  $E$  (anomalous magnetic moment), where quark orbits with  $j_z = +\frac{1}{2}$  and  $j_z = -\frac{1}{2}$  contribute with opposite sign
  - ↪  $E$ , which describes correlation between quark OAM and nucleon spin smaller than  $\bar{E}_T$ , which describes correlation between quark OAM and quark spin:  $\bar{E}_T > |E|$
- potential models:  $\bar{E}_T \propto \# \text{ of } q \Rightarrow \bar{E}_T^u = 2\bar{E}_T^d$ 
  - ↪ expect  $2\bar{E}_T^d > \bar{E}_T^u > \bar{E}_T^d$
- all of the above consistent with LGT results (→ P.Hägler)

# Transversity decomposition of $J_q$

[M.B., PRD72, 094020 (2006); PLB639, 462 (2006)]

- $J^i = \frac{1}{2} \varepsilon^{ijk} \int d^3x [T^{0j} x^k - T^{0k} x^j]$
- $J_q^x$  diagonal in transversity, projected with  $\frac{1}{2}(1 \pm \gamma^x \gamma_5)$ , i.e. one can decompose

$$J_q^x = J_{q,+ \hat{x}}^x + J_{q,- \hat{x}}^x$$

where  $J_{q,\pm \hat{x}}^x$  is the contribution (to  $J_q^x$ ) from quarks with positive (negative) transversity

- ↪ derive relation quantifying the correlation between  $\perp$  quark spin and angular momentum (nucleon polarized in  $+\hat{y}$  direction)

$$\langle J_{q,\pm \hat{y}}^{+\hat{y}} \rangle = \frac{1}{4} \int dx x [q(x) + E^q(x, 0, 0)] \pm \frac{1}{4} \int dx x [h_1^q(x) + \bar{E}_T^q(x, 0, 0)]$$

For unpolarized target only second term.

- ↪ learn about  $\vec{L}_q \cdot \vec{S}_q$  correlations



# Physics of $h_{1T}^\perp$

- consider  $\mathbf{k}_\perp$ -dependence of PDFs for quarks with  $\perp$  spin  $s$

$$q(x, \mathbf{k}_\perp, s, \mathbf{S}) = \frac{1}{2} \left[ f_1 + s^i S^i h_1 + \frac{1}{M} S^i \varepsilon^{ij} k^j f_{1T}^\perp + \frac{1}{M} s^i \varepsilon^{ij} k^j h_1^\perp + \frac{1}{M} \Lambda s^i k^i h_{1L}^\perp + \frac{1}{2M^2} s_i S_j (2k^i k^j - \mathbf{k}_\perp \delta^{ij}) h_{1T}^\perp \right],$$

where  $\Lambda$  is the longitudinal nucleon polarization and  $\mathbf{S}$  its  $\perp$  spin.

- similar structures can be defined in impact parameter space
- if  $h_{1T}^\perp > 0$  then, for  $s = \mathbf{S} = \hat{x}$ , enhancement along  $\hat{x}$  axis
  - $\hookrightarrow$  naturally arises from p-wave component, with  $L_x = 0$
- if  $h_{1T}^\perp < 0$  then, for  $s = \mathbf{S} = \hat{x}$ , enhancement  $\perp$  to  $\hat{x}$  axis
  - $\hookrightarrow$  naturally arises from p-wave component, with  $L_x = \pm 1$
- intuitive expectation:  $u$  quarks, expect lower component to have quarks polarized opposite to nucleon spin, with OAM in direction of nucleon spin  $\longrightarrow h_{1T}^{\perp u} > 0$  ( $d$  quarks:  $h_{1T}^{\perp d} < 0$ )

# Physics of $h_{1T}^\perp$

- $h_{1T}^\perp >$  tells us about components of quark OAM for different polarization combinations
- relation to tensor-term in impact parameter space:
  - $p$  wave in momentum space same shape as  $p$  wave in position space
  - ↪ same interpretation and same expectation for signs

# Summary

- GPDs  $\xrightarrow{FT}$  PDFs in impact parameter space
- $E(x, 0, -\Delta_{\perp}^2) \longrightarrow \perp$  deformation of PDFs for  $\perp$  polarized target
- ↪ origin for deformation: orbital motion of the quarks
- ↪ simple mechanism (attractive FSI) to predict sign of  $f_{1T}^q$

$$f_{1T}^u < 0 \qquad f_{1T}^d > 0$$

- neg. charge density in center of neutron  $\Rightarrow L_u$
- distribution of  $\perp$  polarized quarks in unpol. target described by chirally odd GPD  $\bar{E}_T^q = 2\bar{H}_T^q + \tilde{E}_T^q$
- ↪ origin: correlation between orbital motion and spin of the quarks
- ↪ attractive FSI  $\Rightarrow$  measurement of  $h_1^{\perp}$  (DY, SIDIS) provides information on  $\bar{E}_T^q$  and hence on spin-orbit correlations
- expect:  $h_1^{\perp, q} < 0$   $|h_1^{\perp, q}| > |f_{1T}^q|$
- $h_{1T}^{\perp}$ : info on  $L_x = \pm 1$  vs.  $L_x = 0$

# ⊥ Single Spin Asymmetry (Sivers)

- Naively (time-reversal invariance)  $f(x, \mathbf{k}_\perp) = f(x, -\mathbf{k}_\perp)$
- However, including the final state interaction (FSI) results in nonzero asymmetry of the ejected quark! (Brodsky, Hwang, Schmidt)
- Gauge invariant definition requires quark to be connected by gauge link. Choice of path not arbitrary but must be chosen along path of outgoing quark to incorporate FSI

$$f(x, \mathbf{k}_\perp) \propto \int \frac{d\xi^- d^2\xi_\perp}{(2\pi)^3} e^{ip \cdot \xi} \langle P, S | \bar{q}(0) U_{[0, \infty]} \gamma^+ U_{[\infty, \xi]} q(\xi) | P, S \rangle \Big|_{\xi^+ = 0}$$

$$\text{with } U_{[0, \infty]} = P \exp \left( ig \int_0^\infty d\eta^- A^+(\eta) \right)$$

# Sivers Mechanism in $A^+ = 0$ gauge

- Gauge link along light-cone trivial in light-cone gauge

$$U_{[0,\infty]} = P \exp \left( ig \int_0^\infty d\eta^- A^+(\eta) \right) = 1$$

- ↪ Puzzle: Sivers asymmetry seems to vanish in LC gauge (time-reversal invariance)!
- X.Ji: fully gauge invariant definition for  $P(x, \mathbf{k}_\perp)$  requires additional gauge link at  $x^- = \infty$

$$f(x, \mathbf{k}_\perp) = \int \frac{dy^- d^2 \mathbf{y}_\perp}{16\pi^3} e^{-ixp^+ y^- + i\mathbf{k}_\perp \cdot \mathbf{y}_\perp} \\ \times \langle p, s | \bar{q}(y) \gamma^+ U_{[y^-, \mathbf{y}_\perp; \infty^-, \mathbf{y}_\perp]} U_{[\infty^-, \mathbf{y}_\perp, \infty^-, \mathbf{0}_\perp]} U_{[\infty^-, \mathbf{0}_\perp; 0^-, \mathbf{0}_\perp]} q(0) | p, s \rangle$$

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